



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

November 18, 2003

RQ-2

Julius L. Chambers, Treasurer
Edwards for President
2300 Rexwoods Drive, Suite 300
Raleigh, NC 27607

Response Due Date:
December 18, 2003

Identification Number: C00384073

Reference: October Quarterly Report (7/1/03 - 9/30/03)

Dear Mr. Chambers:

This letter is prompted by the Commission's preliminary review of the report(s) referenced above. This notice requests information essential to full public disclosure of your federal election campaign finances. **An adequate response must be received at the Commission by the response date noted above.** An itemization of the information needed follows:

-Schedule A-P of your report discloses one or more contributions that appear to exceed the limits set forth in the Act (see attached). You should examine all of your contributions to check for additional excessive contributions. The Committee's procedures for processing contributions should also be reviewed.

An individual or a political committee other than an authorized committee or a qualified multi-candidate committee may not make a contribution to a candidate for federal office in excess of \$2,000 per election. An authorized committee may not make a contribution to a candidate for federal office in excess of \$1,000 per election. A qualified multi-candidate committee and all affiliated committees may not make a contribution(s) to a candidate for federal office in excess of \$5,000 per election. The term "contribution" includes any gift, subscription, loan, advance, or deposit of money or anything of value made by any person for the purpose of influencing any election for federal office. (2 U.S.C. §441a(a) and (f); 11 CFR §§110.1(b), (e) and (k), and 102.13(c))

If any apparently excessive contribution in question was incompletely or incorrectly reported, you must amend your original report with clarifying information.

The Commission notes your request for the redesignation and/or reattribution of some of these contributions. Please be reminded that all refunds, redesignations and reattributions must be made within sixty (60) days of receipt of the contribution. To date, one or more of the apparent excessive contributions have not been refunded, redesignated, or reattributed.

For reattributions, the funds can be retained if within sixty (60) days of receipt the excessive amount was properly reattributed to another person. An excessive contribution is considered properly reattributed if (1) the contributors provide the committee with written documentation, signed by each contributor, authorizing a reattribution and indicating the amount of the contribution to be attributed to each contributor, or (2) the committee reattributes, by presumption, the excessive portion of the contribution if the contribution was made on a written instrument from a joint account and was signed by only one of the account holders. In this case, the treasurer must notify the contributors in writing within 60 days of receiving the contribution that the committee intends to reattribute the excessive portion and must give the contributor who signed the check an opportunity to request a refund. (11 CFR 110.1(k)(3)(ii)(B))

For redesignations, the funds can be retained if within sixty (60) days of receipt, the excessive amount was properly designated for a different election. An excessive contribution is considered properly redesignated if (1) the committee obtains signed written documentation from the contributor(s) authorizing the redesignation of the contribution for another election, provided that the new designation does not exceed the limitations on contributions made with respect to that election, or (2) the committee redesignates, by presumption, the excessive portion of the contribution for another election provided that the new designation does not exceed the limitations on contributions made with respect to that election. In this case, the treasurer must notify the contributor of the redesignation in writing within 60 days of the treasurer's receipt of the contribution. The notification must give the contributor an opportunity to request a refund. (11 CFR §110.1(b)(5)(ii)(B)) A contribution can only be redesignated to a previous election to the extent that the contribution does not exceed the committee's net debts outstanding for that election. (11 CFR §110.1(b)(3)(i))

If the foregoing conditions for reattributions or redesignations are not met within 60 days of receipt, the excessive amount must be refunded. (11 CFR §103.3(b)(1))

Please inform the Commission of your corrective action immediately in writing and provide photocopies of any refund checks, and/or letters reattributing or redesignating the contributions in question. Refunds are reported on Line 20 of the Detailed Summary Page and on a supporting Schedule B of the report covering the period in which they are made. Redesignations and reattributions are reported as memo entries on Schedule A of the report covering the period in which the authorization for the redesignation and/or reattribution is received. (11 CFR §104.3(d)(2), (3) and (4))

The acceptance of excessive contributions is a serious problem. Again, the committee's procedures for processing contributions should be examined and corrected in order to avoid this problem. Although the Commission may take further legal action, prompt action by you to refund or redesignate and/or reattribute of the excessive amount will be taken into consideration.

-Commission Regulations require that a committee disclose the identification of all individuals who contribute in excess of \$200 in an election cycle. (11 CFR §104.3(a)(4)(i)) Identification for an individual is defined as the full name, mailing address, occupation and name of employer. (11 CFR §100.12) Your report discloses contributions from individuals for which the identification is not complete.

You must provide the missing information, or if you are unable to do so, you must demonstrate that "best efforts" have been used to obtain the information. To establish "best efforts," you must provide the Commission with a detailed description of your procedures for requesting the information. Establishing "best efforts" is a three-fold process.

First, your original solicitation must include a clear and conspicuous request for the contributor information and must inform the contributor of the requirements of federal law for the reporting of such information. (11 CFR §104.7(b)(1)) See 11 CFR §104.7(b)(1)(B) for examples of acceptable statements regarding the requirements of federal law.

Second, if the information is not provided, you must make one follow-up, stand alone effort to obtain this information, regardless of whether the contribution(s) was solicited or not. This effort must occur no later than 30

days after receipt of the contribution and may be in the form of a request via mail, e-mail or telephone documented in writing. (11 CFR § 104.7(b)(2)) Follow-up requests should be done, if necessary. These requests must be documented clearly in the form of written records. The requests must:

- clearly ask for the missing information, without soliciting a contribution;
- inform the contributor of the requirements of federal law for the reporting of such information, and
- if the request is written, include a pre-addressed post card or return envelope.

Third, if you receive contributor information after the contribution(s) has been reported, you should either a) file with your next regularly scheduled report, an amended memo Schedule A listing all the contributions for which additional information was received; or b) file on or before your next regularly scheduled reporting date, amendments to the report(s) originally disclosing the contribution(s). (11 CFR §104.7(b)(4))

Please amend your report to provide the missing information and a detailed description of your procedures for requesting the information. For more information on demonstrating "best efforts," please refer to the Campaign Guide for Congressional Committees and Candidates.

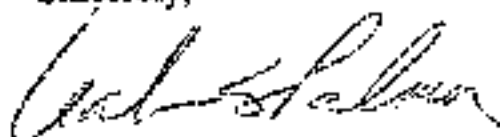
-Your report discloses a depository, First Union Bank, that your committee failed to designate as depository on your Statement of Organization (FEC FORM 1). Commission Regulations require that each political committee disclose the name of its campaign depository or depositories (State banks, Federally chartered depository institutions or depository institutions the depositor accounts of which are insured). Please amend your Statement of Organization to disclose all committee depositories. (11 CFR § 102.2(a)(1)(vi)) A copy of FEC FORM 1 can be downloaded from the FEC website at <http://www.fec.gov>, or requested through the FEC Faxline at (202) 501-3413.

Unlike previous election cycles, you will not receive an additional notice from the Commission on this matter. Adequate responses received on or before this date will be taken into consideration in determining whether audit action will be initiated. **Requests for extensions of time in which to respond will not be considered.** Failure to provide an adequate response by this date may result in an audit of the committee. Failure to comply with the provisions of the Act may also result in an enforcement action

against the committee. Any response submitted by your committee will be placed on the public record and will be considered by the Commission prior to taking enforcement action.

Electronic filers must file amendments (to include statements, designations and reports) in an electronic format and must submit an amended report in its entirety, rather than just those portions of the report that are being amended. If you should have any questions regarding this matter or wish to verify the adequacy of your response, please contact me on our toll-free number (800) 424-9530 (at the prompt press 1, then press 2 to reach the Reports Analysis Division) or my local number (202) 694-1154.

Sincerely,



Leah S. Palmer
Senior Campaign Finance Analyst
Reports Analysis Division

Excessive and/or Prohibited Contributions
 Edwards for President (C00384073)
 October Quarterly Report (7/1/03 - 9/30/03)

P = Primary Election
 G = General Election

CONTRIBUTOR NAME	DATE	AMOUNT	ELECTION
Balisok, Dorothy,	08/01/2003	\$ 1,000.00	P2004
Balisok, Dorothy,	09/24/2003	\$ 1,000.00	P2004
Balisok, Dorothy, Ms,	01/28/2003	\$ 1,000.00	P2004
Beard, Randy,	08/28/2003	\$ 4,000.00	P2004
Bernard, Elizabeth,	09/23/2003	\$ 1,950.00	P2004
Bernard, Elizabeth,	09/30/2003	\$ 1,950.00	P2004
Beustling, Glenn R.,	03/07/2003	\$ 1,000.00	P2004
Beustling, Glenn R.,	08/22/2003	\$ 2,000.00	P2004
Bishop, Daniel W., II	06/30/2003	\$ 1,000.00	P2004
Bishop, Daniel W., II	09/30/2003	\$ 2,000.00	P2004
Blitz, Bert,	09/30/2003	\$ 2,000.00	P2004
Blitz, Burt,	09/30/2003	\$ 2,000.00	P2004
Bluhm, Meredith,	09/25/2003	\$ 1,500.00	P2004
Bluhm, Meredith,	09/26/2003	\$ 1,500.00	P2004
Broadus, Chad,	09/30/2003	\$ 4,000.00	P2004
Broschay, Paul,	03/31/2003	\$ 2,000.00	P2004
Broschay, Paul,	07/15/2003	\$ 2,000.00	P2004
Caminiti, Donald A.,	02/25/2003	\$ 2,000.00	P2004
Caminiti, Donald A.,	09/30/2003	\$ 500.00	P2004
Carpenter, William H.,	04/24/2003	\$ 2,000.00	P2004
Carpenter, William H.,	09/30/2003	\$ 100.00	P2004
Collier, Robert A., Jr	02/27/2003	\$ 100.00	P2004
Collier, Robert A., Jr	03/07/2003	\$ 1,000.00	P2004
Collier, Robert A., Jr	03/31/2003	\$ 100.00	P2004
Collier, Robert A., Jr	04/14/2003	\$ 100.00	P2004
Collier, Robert A., Jr	05/29/2003	\$ 50.00	P2004
Collier, Robert A., Jr	06/09/2003	\$ 100.00	P2004
Collier, Robert A., Jr	08/05/2003	\$ 700.00	P2004
Collins, John,	06/25/2003	\$ 2,000.00	P2004
Collins, John,	09/30/2003	\$ 3,000.00	P2004
Collins, John,	02/05/2003	\$ 2,000.00	P2004
Collins, John,	03/27/2003	\$ 500.00	P2004
Covington, Gene,	03/31/2003	\$ 1,000.00	P2004
Covington, Gene,	08/22/2003	\$ 2,000.00	P2004

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Deane, John C.,	03/10/2003	\$ 2,000.00	P2004
Deane, John,	04/30/2003	\$ 2,000.00	P2004
Drivon, Laurence E.,	05/22/2003	\$ 1,000.00	P2004
Drivon, Laurence E.,	09/30/2003	\$ 2,000.00	P2004
Drivon, Laurence E.,	09/30/2003	\$ 1,000.00	P2004
Durham, Bart.,	07/23/2003	\$ 250.00	P2004
Durham, Bart.,	09/29/2003	\$ 2,000.00	P2004
Feldman, Stanley G.,	05/13/2003	\$ 1,000.00	P2004
Feldman, Stanley G.,	08/30/2003	\$ 1,000.00	P2004
Feldman, Stanley G.,	09/30/2003	\$ 1,000.00	P2004
Ferrer, Jesse.,	08/18/2003	\$ 1,000.00	P2004
Ferrer, Jesse.,	09/30/2003	\$ 1,975.00	P2004
Fitzgerald, James.,	08/18/2003	\$ 2,000.00	P2004
Fitzgerald, James.,	09/03/2003	\$ 2,000.00	P2004
Gallina, William A.,	03/06/2003	\$ 2,000.00	P2004
Gallina, William A.,	09/30/2003	\$ 1,000.00	P2004
Gilcrease, Glynn W.,	03/13/2003	\$ 1,000.00	P2004
Gilcrease, Glynn.,	08/30/2003	\$ 1,000.00	P2004
Gilcrease, Glynn.,	09/30/2003	\$ 1,000.00	P2004
Goldsmith Richman & Harz LLP	02/27/2003	\$ 2,000.00	P2004
Goldsmith Richman & Harz LLP	09/30/2003	\$ 3,000.00	P2004
Goldsmith, Rachelle.,	09/30/2003	\$ 3,000.00	P2004
Greene, Robin.,	09/30/2003	\$ 4,000.00	P2004
Joslin, Mary.,	02/04/2003	\$ 1,000.00	P2004
Joslin, Mary.,	06/26/2003	\$ 1,000.00	P2004
Joslin, Mary.,	09/24/2003	\$ 1,000.00	P2004
Kynard, Kevin.,	09/30/2003	\$ 2,500.00	P2004
Lambert, James.,	09/30/2003	\$ 2,000.00	P2004
Lambert, James.,	09/30/2003	\$ 2,000.00	P2004
Landskroner, Jack.,	02/04/2003	\$ 500.00	P2004
Landskroner, Jack.,	02/28/2003	\$ 250.00	P2004
Landskroner, Jack.,	03/31/2003	\$ 250.00	P2004
Landskroner, Jack.,	08/19/2003	\$ 1,000.00	P2004
Landskroner, Jack.,	09/29/2003	\$ 1,000.00	P2004

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Liapakis, Pam,,	09/30/2003	\$ 1,000.00	P2004
Liapakis, Pamela Anagnos,,	03/24/2003	\$ 2,000.00	P2004
Masry, Joetta,,	03/06/2003	\$ 1,000.00	P2004
Masry, Joetta,,	09/29/2003	\$ 2,000.00	P2004
Maxwell, Philip,,	01/23/2003	\$ 1,000.00	P2004
Maxwell, Philip,,	09/30/2003	\$ 2,000.00	P2004
McDaniel, Preston,,	03/31/2003	\$ 2,000.00	P2004
McDaniel, Preston,,	08/18/2003	\$ 1,000.00	P2004
Murphy, Francis Patrick,,	03/27/2003	\$ 1,750.00	P2004
Murphy, Francis Patrick,,	03/31/2003	\$ 250.00	P2004
Murphy, Francis Patrick,,	08/05/2003	\$ 2,000.00	P2004
Nance, James,,	02/20/2003	\$ 467.55	P2004
Nance, James,,	05/12/2003	\$ 2,000.00	P2004
Nance, James,,	09/30/2003	\$ 2,000.00	P2004
Netherland, Molly,,	09/30/2003	\$ 4,000.00	P2004
Parker, Carl,,	07/24/2003	\$ 4,000.00	P2004
Quinn, Michelle	09/30/2003	\$ 1,000.00	P2004
Quinn, Michelle,,	03/31/2003	\$ 2,000.00	P2004
Renslow, Charles,,	04/10/2003	\$ 500.00	P2004
Renslow, Charles,,	04/17/2003	\$ 500.00	P2004
Renslow, Charles,,	06/30/2003	\$ 1,000.00	P2004
Renslow, Charles,,	09/22/2003	\$ 500.00	P2004
Sakalans, Anthony,,	09/30/2003	\$ 4,000.00	P2004
Saltman, Lauren,,	07/15/2003	\$ 4,000.00	P2004
Schwartz, Alan,,	03/20/2003	\$ 2,000.00	P2004
Schwartz, Alan,,	09/30/2003	\$ 1,000.00	P2004
Sokolore, James,,	07/24/2003	\$ 4,000.00	P2004
Tamez, Laura,,	09/03/2003	\$ 4,000.00	P2004
Vanderginst, Dennis,,	03/21/2003	\$ 2,000.00	P2004
Vanderginst, Dennis,,	09/30/2003	\$ 2,000.00	P2004
Vittoe, James W,,	09/30/2003	\$ 2,000.00	P2004
Vittoe, James W,,	09/30/2003	\$ 2,000.00	P2004
Voorhies, Richard C,,	01/28/2003	\$ 1,000.00	P2004
Voorhies, Richard C,,	03/24/2003	\$ 1,000.00	P2004

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CONTRIBUTOR NAME	DATE	AMOUNT	ELECTION
Voorhies, Richard C.,	09/30/2003	\$ 25.00	P2004
Wood, Jo.,	09/30/2003	\$ 4,000.00	P2004

